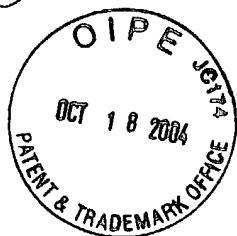


38



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/980,093

Confirmation No.: 8127

In re Application of:

Hiroshi KASHIWA

Group Art Unit: 2651

Filed: December 19, 2001

Examiner:

For: DATA RECORDING MEDIUM AND DATA MANAGEMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RECEIVED  
OCT 20 2004  
Technology Center 2600

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Japanese Official Action (with translation) bearing a mailing date of July 6, 2004, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Cited reference EP 0 919 929 corresponds to JP 11-7412; U.S. Patent 6,240,517 corresponds to JP 10-222618; U.S. Patent 6,157,606

Serial No. 09/980,093

corresponds to JP 10-320779; and EP 0 396 774 corresponds to JP 2-116924.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,


PARKHURST & WENDEL, L.L.P.

October 18, 2004  
Date

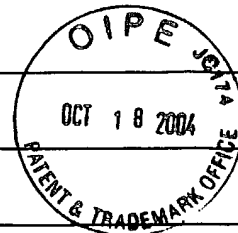
RWP/klb

Attorney Docket No.: HYAE:128

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Registration No. 25,177

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
HYAE:128SERIAL NO.  
09/980,093APPLICANT  
Hiroshi KASHIWAFILING DATE  
December 19, 2001GROUP  
2651

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,157,606	12-05-2000	Yoshizumi INAZAWA et al.	369	116	03-17-1998
	6,240,517	05-29-2001	Mitsuru Nishioka	713	201	01-30-1998

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO YES
	0 396 774	11-14-1990	EUROPE			X
	0 919 929	06-02-1999	EUROPE			X
	2-29823	01-31-1990	JAPAN			Abstract
	2-116924	05-01-1990	JAPAN			X
	3-137748	06-12-1991	JAPAN			Abstract
	6-4996	01-14-1994	JAPAN			Abstract
	8-36803	02-06-1996	JAPAN			Abstract
	8-161790	06-21-1996	JAPAN			Abstract
	9-149022	06-06-1997	JAPAN			Abstract
	10-198776	07-31-1998	JAPAN			Abstract
	10-222618	08-21-1998	JAPAN			X
	10-241290	09-11-1998	JAPAN			Abstract
	10-320779	12-04-1998	JAPAN			X
	11-7412	01-12-1999	JAPAN			X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	<div style="text-align: right;"> <b>RECEIVED</b>  OCT 20 2004  Tech Control 2600 </div>	
EXAMINER	DATE CONSIDERED	